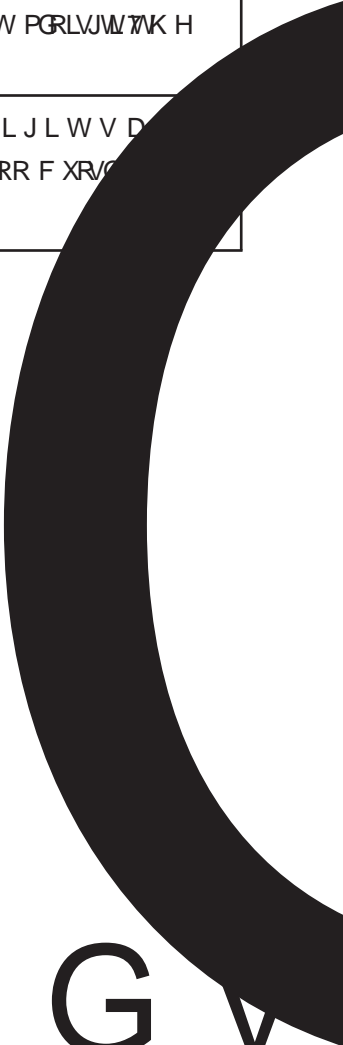


,WHP	5DWLRQDOH	
	2SWL'RLQFRUUHF	7RGHWHUPLQPHRGHVKDEWVUHSUHVWQOMPEHRUFDUWRIDVJVLFWERUKJKWKH VWXGMKQVXIDYLGHQWWIKLHGGHVKDWRZWKWRVQXDEHRUHJJV VKRXDQXEWU IRFXRQXQGHUVWVQKGLQJ
		PDKHPDWSFDOWLRQV: QHHGWGROMHDO ZRUOG SUREOHPV
	2SWL'RLQFRUUHF	FKH WXG BQMWCRXJKWHD OXHKR XDEHGGLQVWRIDG YLGHKHWXG BHWQWRRFXV RQXQGHUVWVQKHDQWKHPDWSFDOWLRQV: QHHGWGROMHDO ZSUREOHPV
	2SWL'RLQFRUUHF	FKH WXG FQRWDP RGHVKDWRZWKUJHRX SXV LN BQGRFRXQKWRVQXDEHRUHJJV RUWKQXPEHRUHJJV QDFJKURXSKHWXG BHWQWRRFXRQWKGHWRUOR/GHØVHWGR UHSUHVHHQW ZSUREOHPV

,WHP	5DWLRQDOH	
	2SWLRQVFRUUHFV	<p>7RGHWHUZKQFKWDWHBHQWUWEKHUDFWRLBQLJJDVKRQRIWKIHLHDDWVAVWVXGHQW VKRXIDYKIQGHUVWRBUDDFWLRQPSRVHIGQXPHUDWRCSXPEHVKLFKHSUHVHQWV WKVKDGSURWKFILUFDDHGHQRPLQDWRVWVPEHVKLFKHSUHVWVPEHRU HTXDO SDJHUVKZKRCHLUFCHVWXGMQRXIDYWKHCGHQWVWKLWVFLUFRUHVVOHY ZDGLYLLEQWRITXSDUWLVWKS DUWKDGBGQWKHUHURISHVHVHQWVWVXGMQRXOG WKHCDYUHDOWJHCHWODWHRIWKSILJJBFHDXKHDWHSLHRHKLHVHTXDO SLHFHV</p>
	2SWLRQLQFRUUHFV	<p>7KHVWXGEBQMSQRXQGHUVWBDWKSILHFHWKPRGHKDYWEHHTXDQLJHRUWEH WKIUDFWLDRQSGDOWKRKHKRFRGHCGLYLEQWZSDUWVKSDUDVGRMTXDQLJH DQWKGRQRWHSUHKDOWFKH/VWXGEBH/WVRRFXRQXQGHUVWVCKDWSIDUWID IUDFWLRQVFXVWHHTXDQLJWRBHSUHVHIDDFWLRVQWRVQDEHRUSLHFHV</p>
	2SWLRQLQFRUUHFV	<p>PRGHDQWKRXJKWVXPEHRUSLHFHVDWVHCKDGSIDUWVKRXEHSVKGXPHUDWRDQWKH QXPEHRUSLHFGRVMDWHQVVDKDSBGWVKRXEHSVKGHQRPPLQDWRKURRVLQJ</p>

,WHP	5DWLRQDOH	
	2SWL'RLQFRUUHF	7RGHWHUPLQKHPEHRUWLFNMMW/KHDVHURW KW KUPHRYLHWIÄHWXGMQRXIDYH DGGHWKQXPEHRUWLFNWRORSURYLH ÄORYLH ÄDQGRYLH UHVXOWQLQJ + + =
	2SWL'RLQFRUUHF	WKHWXGEMDGGHWKYDDEXW/LQRWHJURXSWKWHSDDFVHFRRGJLURWKULJKW DQWKKIXQGUBGDFOHIWPRLWJWKHWXGEMWQWRRFXRQXQGHUVWKRQWBJHJURXS ZKHQGGGLQJ
	2SWL'RLQFRUUHF	WKHWXGEMDGGHWKYDDEXW/LQRWHJURXSWKIXQGUBGDFOHIWPRLWJWKH VWXGEMWQWRRFXRQXQGHUVWKRQWBJHJURZKSHQGGGLQJ
	2SWL'RLQFRUUHF	WKHWXGEMDOWHP SWDGGWKYDDEXW/DGDCUURKHQGGGLQKGLJLWVD LQWKRIQHS/DFUHLJKWPRLVWVXOWL+QJ+ o 7KH/WXGEMWQWRRFXRQ QXPEHDFFXUDWHO\



,WHP	5DWLRQDOH	
	2SWLRQVFRUUHFV	7RGHWHUPLQHPRXQWPRQHWKZVHQJHFHLMURFVHOOLQPRQDVKVAWXGFRXVG KDYDIGGHWKYDOXRHWKHILYHGRELODQHGRELODDVXDUWHGMPHDQGGQLFNHO VKRZXVLCJROQRWDWLRG+ + + = 7KLVDQHILFLHQW ZD\WRROWKSIUREOKRZHYRWA\PHWKRFRVGBXVHGRROWKSIURECFRUUHFVON
	2SWLRQLQFRUUHF	7KHVWXGEMQMDVFDofXVDWDHQRHWKHXDUWHHEW LQVWRIDG 7KHVWXGHQW QHHGWRFRFXVDFFXUDGWHVHUPWCKYDJRHDFFROOHRVELRQMGRLQV
	2SWLRQLQFRUUHF	7KHVWXGEMQMDVFPDHFVJFVQD\OXH

67\$\$5*UDGH0DW5KD WLRQDOHV

,WHP	5DWLRQDOH	
	DQ@QHTXLYDO YDOXDURRUUHF	H7RWHWHUPEWUKDMTXLYDVRCKM[SUHVVRVWVXGMQRVIOBY SIXWKGILJLV IURWKH[SUHVVLGRQDFH YDOKHURPOHWR LJKWKS SHODFH YDOKH XQGUBODFH WHSDDDBQHSODFHKHWXGMQRVIOBYXVHG D KXQ@UKSDDFHWKH LQWKH H[SUHVLRQ DWHSDDFHWKH LQWKH[SUHVLRQD IRQHS/ODFFHWKHLQ WKH[SUHVLRQ7KLVDGHILFLZDWRROWKSIUREOKRZHYRWAPIHWKRFRX@ XVH@RROWKSIUREOFPUUHFWO\

7H[DMGXFDSLRHOF\
 6WXG\$QWHVVP@WLRQ
 \$XJXVW

67\$\$5*UDGH0DW5DWLRQDOHV

,WHP	5DWLRQDOH	
	2SWLRQVFRUUHFV	7RGHWHUPLQHHVHWLPRDIWHWRVQDEHRUVQRZRQVVRQWVKWKUGH\WKVWXGHQW FRXQYURXQGWKQXPEHRUVQRZRQVVRQDFCDWRWKEHDKXWGUHGQURXQGGHG WRKQHDUKXWGUWGXPEHDBVROORZVURXQGRURXQGRDQG URXQGR7KHQKWXGMRWXIDYBIGGWKURXQDPRXQWVXOWLQJ + + = 7KLVDGHILFLZQWRROWKSIUREOKRZHYRWKPHWKRFRXOG EHXVHVRROWKSIURECFRUUHFV\
	2SWLRQLQFRUUHF	WKVWXGQDMHGXQGWKQXPEHRUVQRZRQVVRQDFCDWRWKEHDKXWGUHG URXQGGGRZWR LQVWRXSWR7KHVWXGWQVGGHG+ + WRHVQ HVWLPRDIWH7KHVWXGQDMHGXQGWRRFXRQXQGHUVWDRZWRXQGXPEHWRKQHDUHVW KXQGUHG
	2SWLRQLQFRUUHF	WKVWXGQDMHGXQGWKQXPEHRUVQRZRQVVRQDFCDWRWKEHDKXWGUHG URXQGGGXSWR LQVWRXZWRDQG XSWR LQVWRXZWR7KH VWXGWQVGGHG+ + WR @ p

,WHP	5DWLRQDOH	
	2SWL'RQVFRUUHFW	7RGHWHUPLQSHHULPHWKGHLVWDDQFRXVGRXWVLRGWHFHFDQPKODDQVWVXGHQW VKRXIDYHLUUVWFRJQWJHSDWVKLGRWVKPLUUDWHLQFKORQJGRWKKWRISQG ERWWRLGDUHLQFKZLVGHFKHDKWVXGFRXVQYDGGHGRWKVLCGHQJWKV + + + = 7KLVDQHILFLZDWRROWKSHUREOKRZHRYRWKPHWKRFRXOG EHXVHGRROWKSHUREOFRPUHFWO\
	2SWL'RQLQFRUUHF	7KHVWXGEGQMBGGHVKWLCGHQJWRVHWKHU EXVLQRWHJURVSKWHSVDFH VHFRQGGJLWRWKHLJKWHVXOWLQLQVWRDG7KHDKWVXGEGQVHG WRLQG WKSUHULPHWHU = 7KHVWXGEGHWCWRRFXRQDGGLOXPEHDFFXUDWHO\
	2SWL'RQLQFRUUHF	7KHVWXGEGQMBGGHVKJLYHQCGHQJWKV = 7KHVWXGEGHWCWRRFXRQ XQGHUVWDSHGLEJHWHLRZWRDOFXDWH
	2SWL'RQLQFRUUHF	7KHVWXGEGQMBGGHRCQVKURIMKWLGHQJWQVWRDGGEXRIVKWLGHQJWKV + + = 7KHVWXGEGHWCWRRFXRQXQGHUVWDSHGLEJHWHLRZWRDOFXDWH

7H[DVGXFDWLRQF\
6WXGSHQWHVVPHQWLRQ
\$XJXVW

,WHP	5DWLRQDOH	
	2SWL'RQVFRUUHFV	7RGHWHUW\QHRQXOEHRUGULQNLGHUWHGHWXGMQRXIOBYGHWHUPWQVHGORH HDFKURWDFW\SRIGULQNLGHUWHGHWXGMQRXIOBYGHWHUPWQVHGORH WLPQVHPRQZQWRUGHUHG\PLPHVHZZDRUGHUHG\PLPHLQVWKEIDUDORQVWKH XQODEH\QFHGHPOH\QVRYH DQGRGZDRUGHUHG\PLPHVW\KHWXGMQRXIOBYH DGGHWKQXPEHRUHDFW\SRIGULQNLGHUWHGHWKWRQXOEHRUGULQNLGHUHG + + + =
	2SWL'RQLQFRUUHFV	WKHWXGEMQVFDGIDQGGLVHURQKHQGGLIQVWVHSDDFWHLQNLQVXPLQVWVH SODEBV WHQVWRDQGWHQKHVWXGEMVQVRRFXRQKRWZDGGV\KURVPRUH WZR GQJLPEHUV
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	2SWL'RQLQFRUUHFV	WKHWXGEMQVFDVUHV\QVHGORHWKEIDURWHDV VLQEMDQGF\QVQODEH\QVH\QVHWX

67\$\$5*UDGH0DW5DWLRQDOHV

,WHP	5DWLRQDOH	
	DQ@QHTXLYDOH YDOXDURRUUHFV	GRGHWHUMLQHRQX@EHRUHUDVROD/CROWKWDEDEWKFHODVVUWKPWXGHHQW/C@XOG

, WHP	5DWLRQDOH	
	2SWL\$QFRUUHF	7RGHWHUZKQFKQIRMPHDVXUHFHDEWXVHVGRHDVXWYRORXRHWKZDWHCWKH ERWWOKNHWXGMQRXKIDYUHFDOVKIGIHHUSRQWLELICRWDHAXUOQTXLRCXPH DPRXQWSDFWHDNISE\DOLTXLZGHWXGFRXWGYHHIHUWRVCKXQLWK/RZLQWKH 9ROXPHQ&DSDFLWFRWRACK07\$\$5*UDGH0DWKHPD5WUFWHQDFWHUSDDE/LWKLQH VWXGHQMTWRNORWHOS
	2SWL%QLQFRUUHF	WKHWXGBOQMFBOQLGBOGOWHGRHDVXPBIVWEHDXQLXWHVGRHDVXOHTXLG YROXPHKHVWXGBOGOWRRFXVGLVHOSQWLVQILQDQOQJ20SKHOS E\$WLDQFRUUHFWKHWXGBOQMFBOQLGBOGOWIRUPD PHDVXPBIVWEHDXQLXW
		YROXPHKH

,WHP	5DWLRQDOH	
	2SWLRQVFRUUHFW	7RGHWHUMLQHRWDXEHRURXWOHWOHFWSDLEHODYHWKWWXGFRXVQYPIXOWLSOLHG WKHRXWOFHOWDFSQDQEDSDQHx = 7KLWDQHILFLZDWRROWKSIUREOHP KRZHYRUKPIHWKRFRXCEXVHVRROWKSIUREOFRUUFHWO\
	2SWLRQLQFRUUHF	WKHWXGEGQMRDGDCHUUZKHQNLFSRXQWELQJWKQDQWPEHJWHUNLSDV LQVWRDGL 7KH/WXGEGH/WQMRFRXRQRZVSHWHUMLQHRWDO QXPEHREMHEZMVGITXDOVURKSVREMHBWWRPELQHLUUDQUJGDUDXSWRE\
	2SWLRQLQFRUUHF	WKHWXGEGQMQGHUVWRPWOVLSOMKRWEDQ/HVRROWKSIUREOHPWRQIXVHG WKSURGXDFQVZRUx ZLWKSURGRFW %@RWKXGPKVH/WQMRFRXQ PXOWLSQXLEHDFFXUDWHO\
	2SWLRQLQFRUUHF	WKHWXGEGQMBGGHGWRLQVWRDPXOWLSOELQJ7KH/WXGEGH/WQMRFRXQ XQGHUVWDQGLY`HTuDp€p WXGHQW

,WHP	5DWLRQDOH	
	2SWL\$QFRUUHFW	7RGHWHUZKQFKRPSDULLWRQXMKWVXGFRXVGYFRORUEGRWKHSDUWIWKH ERWWRPZIWKIHUDFWLVRQLSGHVRHSUHVHQQGRIWKHSDUWQWKIRXUWRZIWKH PRGHVRHSUHVHTQVWVXGEGWRXGUYHHFRJQWJKDKSIDUWQWKIRWWRPZIWKH PRGHVL[WQVMPDOQKDKSIDUWQWKIRXUWRZIWKPRGHORXUWKR<-EHFDXVH VL[WQVMPDOQKDKXUW7KLVVQGPHLFLDQWRROWKSUREOKRZHYNWKPWRRS V F V FRXGEXVHVGRROWKSHURE@PVERDFULQ@DLFWLHQWXHFYH

,WHP	5DWLRQDOH	
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	2SWL'RQLQFRUUHF	WKH\WXGQWJEDOWJHSWLAQWKRXEDVZRDPHVWJHFWRURQFEXGLVUHJDWKGHG WRVQDEHRUVDPHVWJHFWRURQKEXPEHOLQKH\WXGQWVWRRFXRQXQGHUVVDQGLQJ WKDWUDFWLVRRP SRVRIQQXPHUDWRQXPEHDQGGHQRRPLQERWUQXPEHDQGG WKZWHQHSUHVHDIWDEWLDQXPEHOLQKH\WJHMJRPWRWKGHQRPLQDWRU UHSUHVHEQWVSRVQDEHRUVDPHVWJHFWRURQV
	2SWL'RQLQFRUUHF	WKH\WXGQWJEDOWJHSWLAQWKRXEDVZRDPHVWJHFWRURQFEXWRXQWHLGN PDUNAVWDUZLVWVKWLPDUNW7KH\WXGQWVWRRFXRQXQGHUVWQWQWRYH WRKQHDVQWVKHLJRQDQXPEHOLQKH\HSUHVHDIWDEQLRQV
	2SWL'RQLQFRUUHF	WKH\WXGQWJEDOWJHSWLAQWRXQEGWZRDPHVWJHFWRURQFEXWKRVDQXPEHU OLQHWKWLPDUNMWSRLQWLDWVKHQDQDPHVWJHFWRURQFWRIDUG

67\$\$5*UDGH0DWK

,WHP	5DWLRQDOH	
	2SWLRQLQFRUUHF	7RGHWHUPLQHHMIHTXDWRKQDVEHXVHVRLQVKGIXPEHUEERWRPFLVNRQVGH VWXGMQRXIOBYHLU/VHQQWIKLHGRMIHTXDWRKQDWRZWKDIGGLWLRQIWKIXPEHRU ERWRROHS/SQIXLDFGQKIXPEHUEERWRROZDWMBOG + = 7KHQKHWXGHQW VKRXIOBYFKRVMLQHHMIHTXDWRKQDWRZWXEWUDFWLQKIXPWRWRDQKEIRWRROHV DSSQIXLDFGQKEIRWRROZDWHU IURFKWRVQXQEHUIGULQNRVG = <input type="checkbox"/>
	2SWLRQLQFRUUHF	WKHWXGQMHEDOWKDGGLVZDROHHGWBLQVKGIXPEHUEERWRROHS/SQIXLDFG ERWRROZDWMLKZVUWRQXWKHQWHUPVQKQVXPQHGWQHDGGHQRVQWRWDC GULQNRVQHGQHVGDL LQVWRDQEWUDIEWRKHWXGQHWQRRFXRQ XQGHUVVDSUREQHLFXDQDQKPDWKHPDWSFDOWLRQV÷ QHHGWQROYH WKHP
VKHP	2SWLRQLQFRUUHF	WKHWXGQMHEDOWKQWEWUDZYWLpQÀ ð\$` @D,0 SURE!7KH H
WK4Rq" W,Ðp°3@\$aYÜAD€OLNK\		

Header		

,WHP	5DWLRQDOH	
	2SWL\$QVFRUUHFV	7RGHWHUMLQHRVDPGXQWKVRIWEDHDSPLVCSODLQWRXUQDPWQVWXGFRXOG KDYPIXOWL\$OLHGE\WKHWRXUQDPHQW 7KLVDQHILFLZDWRROWKH SUREOKRZHYRWKPHWKRFVXGEXVHGRROWKSIURECFRPUUHFV\
	2SWL\$QLQFRUUHF	WKH\WXG\$QMF\$OWLEOXVLCGRWHJURXV\$KWH\$VDFHx o 7KH\WXG\$QMWGV WRRFXRQXQGHUVW\$KQV\$HJURZK\$OXOWLSO\LQJ
	2SWL\$QLQFRUUHF	WKH\WXG\$QMDGGHGDQGLQVWRDP\$OWLSO\EQ 7KH\WXG\$QMWGV RQXQGHUVW\$KQIDQKHPD\$SFD\$OWLRQV: QHHGWR\$ROWHDOZ\$UB\$OHPV
	2SWL'RQLQFRUUHF	WKH\WXG\$QMNQGHUVWR\$P\$OWLSO\KRW\$DQ\$HGRROWKSIURECFRPUUHFV E\ LQVWRDGE\ 7KH\WXG\$QW\$DIDFHWURHUVXOWD\$SURGRFW LQVWHDG RI 7KH\WXG\$QMWGVWRRFXRQXQGHUVW\$KQIDQKHPD\$SFD\$OWLRQV: QHHGWR\$ROWHDOZ\$UB\$OHPV

7H[DVGXFDW\$LRQF\
6WXG\$QWHVVP\$H\$QWLRQ
\$XJXVW

,WHP	5DWLRQDOH	
	2SWLRQVFRUUHFW	7RGHWHUMLQHDVOKDWRZWKUHODWLEEWZLHSHKQIXPEHRUPXIILOVQWKQIXPEHRU FRRNWHKEDNHDNDNHWKWXGMRVXIOYDIGGHGW R D F Q X P E H R U P X I I L O V Q W K H Q XVHVWKUHVXORRQILHDFQXPEHRUFRRNLOHWVQKWDEOH = + = + =
	2SWLRQVFRUUHF	WKHWXGEMVFRWKWDEVDKDWZRZKQIXPEHRUPXIILOVQFUHDEALQDQWKQIXPEHU RIFRRNLOVUHDEALQDGGHGWKSIUHYLRDQDGLGRFRQVLVQKUHODWLEEWZLHSHQ HDFQXPEHRUPXIILOVDFQXPEHRUFRRNLOVKWDEOKH/VXGQEWQWRRFXRQ XQGHUVWVQKUHODWLEEWZLHSHQXPEHSDVLUHQVWDEOH
	2SWLRQVFRUUHF	WKHWXGEMVHYUWKUHODWLEFRRLVSKWDEVDKDWZRZKQWKHZHUHPRUH PXIILOVKDFRRNLOHWKWQDQPRUFRRNWHKDPXIILOVKHWXGQEWQWRRFXRQ XQGHUVWVQKUHODWLEEWZLHSHQXPEHSDVLUHQVWDEOH
	2SWLRQVFRUUHF	WKHWXGEMVFRQIXVHGGLVZLRSXOWLSOLVEMQNLQDQWKQIXPEHRUFRRNIZHW WLPWKQIXPEHRUPXIILOVQVWRDGRUWKDQKQIXPEHRUPXIILOVQCKRWHKWDEOH VKRZLVQKUHODWLEEWZLHSHQIXPEHUVRPRIWKSDLUQVQWDEEONHGLGRWRDND RIWKSDLEWVJRWKS3!

,WHP	5DWLRQDOH	
	2SWLRQLQFRUUHF	7RGHWHUPLQHLPHDUFXVWKSIRRVKHWXGMQRXIOBYGHHWHUPWQKWKWLPDUFXV DUULDMCKSIRRCKRZRQWKFORZDV 7KHQKHWXGFRXVQBYDIGGHGPLQXWHR/ WKDWLPHFRXQWLQPLQXWQHWHU7HDKW XGMQRXIOBYGRWLWKGWPLQXWHWHU ZRXEGH PLQXWHWHHDQGHOFHWHGKLVVDQHILFLZDQWRROWKSIUREOHP KRZHYRWKPHWKRFKXGEXVHGRROWKSIUREOFRUHFWO\
	2SWLRQLQFRUUHF	WKHWXGEMQMHBYHUWKRXDQQLQXWBIQGVHDGMQWLPDVLQVWRIDG 7KH VWXGWQWDCGGHGPLQXWHR/WRHW 7KHWXGEMQWRRFXRQXQGHUVWDQGLQJ KRZWRVHWIOPRQDQDQDOFRJFN
	2SWLRQLQFRUUHF	WKHWXGEMQMDVUHVIGWLPHQWKFORBM LQVWRIDG 7KH/VWXGWQWDCGGH PLQXWHR/WRHW 7KH/VXGEMQWRRFXRQXQGHUVWDRZM@JHWIOPRQDQ DQDQDOFRJFN
DQDORJ	2SWLRQLQFRUUHF	WKHWXGEMQMDVUHVIGWLPHQWKFORBM UDWKWKDQ 7KH/VXGWQWDCGGH PLQXWHR/WRV@DKW/VXGEMQWRRFXRQXQGHUVWDRZM@JHWIOPRQDQ`E

,WHP	5DWLRQDOH	
	2SWLRQLQFRUUHF	7RGHWHUMLQFRUUZDWBURXVSKREMHFWKWXGMQRXIDYFODVVHIDFHGJXUH DFFRUGLQDW WULFXDWD FWHUKVWUFWGRXUMLKXUDU\OLQGEHUFDXWKHDUH URXQGDYWRISQGRWWRPVHLQWKVKDSDHDFLUFVQKEDVHDUFRQJUXDQWUH SDUDQCHDFRWKFKH/HFRQGWKLIWGXUDUHHFWDQSQDQDPMFDXWKHDFKDYH VL[DFHWKDWHHFWDQJOHV
	2SWLRQLQFRUUHF	WKHWXGEMQMRQIXVFXGHVSHFSDDVPEZKLFKROWKIDFHJWVKHDPHVMJTKDUH ZLWKHFDQSQDQDPMHWHUPWKLQKHUDDHJQKWRROZHRUJFXEHVKHWXGEMWGV WRRFXRQXQGHUVWVCKDQWULEKSWHVDQGEHEV
	2SWLRQLQFRUUHF	WKHWXGEMQMRQIXVSKHUHRXQIGJXUMKDRFNLNEDQZLWFKOLQGGHJWHUPLQLQJ WKDWKFDQGWKGIUXZHUVSKHU7KHVWXGEMWQRRFXRQXQGHUVWVCKDQWULEXWHV RIF\OLQDQWVSKHUHV
	2SWLRQLQFRUUHF	WKHWXGEMQMRORWIKFRUUJHFRXSDPHEXVVVRFLDWRHEGMHWWKIHQFRUJHFXSV 7KHVWXGEMWQRRFXRQWKGHWDRUSDVREOHEVRODQWULEKREMHFWV

7H[DMGXFDWLRQF\
 6WXG\$QWHVVPHQWLRQ
 \$XJXVW

67\$\$5*UDGH0DW5DWLRQDOHV